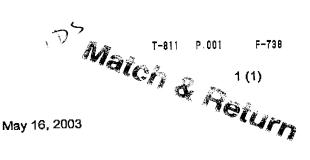


NC 25779 Thomas R. Weber



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FACSIMILE TRANSMISSION OFFICIAL COMMUNICATION

: Tom Weber (41,547) From

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: 858 - 831 - 4823 Desk

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: 85(Including cover) **Pages**

Title CONNECTOR APPARATUS, AND ASSOCIATED METHOD, FORMED OF MATERIAL EXHIBITING PHYSICAL-MEMORY CHARACTERISTICS

: PALADINI, ALBERT W. Examiner

: 2841 **Art Unit**

: 703 - 872 - 9318 **FAX**

: 09/870,039 Application

: May 30, 2001 Filing Date

: NC 25779 **Docket Number**

1. Cover Letter (1 page)

2. Information Disclosure Statement 84(pages)

CETIFICATE OF TRANSMISSION

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Thomas R. Wober

Transmitter's Signature;

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

TABACUTU

Application No.: 09/870,039

Filed: May 30, 2001

Title: CONNECTOR APPARATUS, AND ASSOCIATED METHOD, FORMED OF MATERIAL EXHIBITING PHYSICAL-

MEMORY CHARACTERISTICS

Examiner : Paladini, Albert W.

Art Unit : 2841

Applicant's Docket:

NC 25779

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R § 1.97 and § 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08A. These references were located during the International Search Report issued in the related PCT Patent Application No. PCT/US 01/51293, a copy of which is attached hereto. Copies of the below listed references are submitted herewith.

U.S. Patents

Patent No.	Inventor	Date		
5,059,133	Hikami et al.	October 22, 1991		
5,366,381	Kile	November 22, 1994		
6,222,136	Appelt et al.	April 24, 2001		
5,120,257	Hahn	June 9, 1992		
5,731,958	Kozel	March 24, 1998		
5,726,862	Huynh et al.	March 10, 1998		

International Patents

Patent No.

Inventor

Date

JP 4-132250 (Abstract)

wada

May 6, 1992

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Transmitted herewith in the above-identified application is/are:

- 1. Information Disclosure Statement;
- 2. Form PTO/SB/08A;
- Seven (7) references;
- 4. Certification Under 35 C.F.R. § 1.97(e); and
- 5. Copy of International Search Report.

Respectfully submitted,

Thomas R. Weber Reg. No. 41,547

May 16, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MIDDLETON

Application No.: 09/746,354

Filed: December 21, 2000

Title: METHOD AND APPARATUS FOR

MANAGING APPLICATIONS AND DATA IN

A MOBILE DEVICE

Examiner : Paladini,

Albert W.

Art Unit : 2661

Applicant's Docket:

NC 25767

Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATION UNDER 37 C.F.R. § 1.97(E)

Dear Sir:

The undersigned hereby certifies, in accordance with 35 C.F.R. § 1.97, that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to any knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Thomas R. Weber Reg. No. 41,547

May 16, 2003

PTO/SB/08A (04-03)

Approved for use through 04/30/2003, OMB 0651-0031

Approved for use through 04/30/2003, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO 09/870,039 Application Number May 30, 2001 Filing Date INFORMATION DISCLOSURE First Named Inventor Tabacutu STATEMENT BY APPLICANT 2841 Art Unit Paladini, Albert W. Examiner Name (Uso as many sheets as nocessary) 25779 Attorney Docket Number

Sheet 1 U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where Name of Patentee or Publication Date Document Number Cite No.1 Relevant Passages or Relevant Figures Appear Applicant of Cited Document Initials* Number-Kind Code^{2 (Flatoria}) abstract ^{US-} 5,059,133 10-22-1991 Hikami et al. Fig. 3-5, cl 2 ln 43 Kile ^{US-} 5,3<u>66,3</u>81 11-22-1994 Appelt et al. entire doc. 04-24-2001 US-6,222,136 entire doc. 06-09-1992 Hahn US-5,120,257 entire doc. Kozel ^{US-} 5,731,958 03-24-1998 entire doc. Huynh et a). ^{US-} 5,726,862 03-10-1998 บร-ปร-US-US-US US-ÜŞ-US-115. US US-

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Rolevant Figures Appear	7
	_	Gountry Code ³ "Number ⁴ "Kind Code" (# kaown) JP 4-132250 (Abstr.)		Wada	abstr; Fig. 1,2	Ļ
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Date Examine Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional), ² See Kinds Codes of considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional), ² See Kinds Codes of Cost Patent Documents at www.usstco.gov or MPEP 901.04. ¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), ² For Japanose patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ² Kind of document by the sample as symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴ Applicant is to place a check mark here if English language the appropriate symbols as indicated.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or rotain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, uspection is estimated to take 2 hours to complete, and comments including gathering, preparing, and submitting the complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

	Applicant's or agent's file reference					
	NC25778	FOR FURTHER ACTION	see Notification of (Form PCT/ISA/2	Transmittal of International Search Report 120) as well as, where applicable, item 5		
	International application No.	International filing date	(day/manth Argan)			
	PCT/IB02/01862	29 MAY 2002	(wayshioning year)	(Earliest) Priority Date (day/month/year) 50 MAY 2001		
	Applicant NOKIA CORPORATION					
	according to Article 18. A copy is being	prepared by this Internacion transmitted to the Intern	onal Searching Autl	nority and is transmitted to the applicant		
	This international search report consists					
	X It is also accompanied by a co	py of each prior art docum	ent cited in this re	PPOrt.		
	1. Basis of the report					
	a. With regard to the language, the	international search was ca nless otherwise indicated un arried out on the basis of a	rried out on the bar der this item.	sis of the international application in the		
	b. With regard to any puel-state	•	THE PARTIES OF THE	international application furnished to this		
				mational application, the international search		
	contained in the international	application in written form	1.			
	filed together with the interna	tional application in comp	iter readable form			
	furnished subsequently to this	Authority in written form.				
	furnished subsequently to this	Authority in computer reac	lable form.			
	the statement that the subsequent the	ently furnished written see	quence listing does	not go beyond the disclosure in		
	the statement that the information furnished.	recorded in computer read	able form is identica	al to the written sequence listing has been		
	2. Certain claims were found uns	earchable (See Boy I)				
	3. Unity of invention is lacking (See Box II).				
	4. With regard to the title,					
	X the text is approved as submitted by the applicant.					
	the text has been established by	this Authority to read as	follows;			
	s. With regard to the abstract,					
	X the text is approved as submitte	43 .1 .1		í		
	the text has been esselled a	a by the applicant.				
	the text has been established, acc Box III. The applicant may, within search report, submit comments	to this Authority.	or mailing of this if	t appears in nternational		
	6. The figure of the drawings to be publish	ed with the abstract is Fig	rure No. 2			
İ	as suggested by the applicant.					
	because the applicant failed to su	ggest a figure.		None of the figures.		
Received from < > at 5/	16/03 10:14:56 PM [Eastern Daylight Time]	erizes the invention.				

INTERNATIONAL SEARCH REPORT

International application No.

	A. C	LASSIFICATION OF SUBJECT MATTER		PCT/IBOS/	11862
	11.64) :HQQK 1/16, 9/30; HQ1R 15/90			
	US CI	1174/250: 490/161, 00/140			
	B. F	ng to International Patent Classification (IPC) or t	to both national classification	n and IPC	
	<u></u>	- OB GBARCHED			
	U.S.	m documentation scarched (classification system fo	llowed by classification sym	bols)	
	senone.	tation searched other than minimum documentation	on to the extent that such	documents are	included in the fields
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	Electroni	e data base consulted during the international sear	ch (name of data have and		
	USPTC	APS EAST	(wnere practicab	le, search terms used)
	1				
	C. DC	CUMENTS CONSIDERED TO BE RELEVAN	NT		
	Category				T
	Y				Relevant to claim No
	1	JP 4-132250 A (WADA) 06 May figures 1, 2.	/ 1992 (06.05.1992)	, abstract;	1-20
	Y	US 5,059,133 A (HIKAMI et al) 2 abstract.	22 October 1991 (22.	.10.1991),	1-20
	Y	US 5,366,381 A (KILE) 22 Novemb 5; column 2, lines 43-65.	er 1994 (22.11.1994)	, figure 3-	7, 8, 11, 12
	Y	US 6,222,136 B1 (APPELT et al) 24 entire document.	4 April 2001 (24.04.2	2001), see	2-5
	A	US 5,120,257 A (HAHN) 09 June document.	1992 (09.06.1992), s	see entire	2-5
	A	US 5,731,958 A (KOZEL) 24 March document.	. 1998 (24.03.1998), (see entire	1-20
	X Furth	er documents are listed in the continuation of Box	C. See patent fam	ily apper	
	9po	cial categories of cited documents:		_	
,	"A" document defining the general state of the art which is not considered to be of particular relevance		date and not in could the principle or theory		ational filing date or priority ation but offed to understand
	"E" cari	for decument published on or after the international filing date	"X" decrease of particular		and rion
	"L" daca	ment which may throw doubts on priority claim(s) or which is to establish the publication date of another citation or other ial reason (as specified)	when the decompost is	raken slone	to theorem to insocite stap
ļ	-	mont referring to an oral disclosure, use, exhibition or other	with one or more of	por many grammers	taimed threation cannot be on the document is combined in, such combination being
		ment published prior to the international filling date but later the priority date claimed	obvious to a person sk "A" document member of t	THE REPORT	
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INTERNATIONAL SEARCH REPORT

International application No.

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	C (Continu	ation), DOCUMENTS CONSIDERED TO BE RELEVANT			_
	Category* Citation of document, with indication, where appropriate, of the relevant passages				
I			Relevant to claim No.		
A		US 5,726,862 A (HUYNH et al) 10 March 1998 (10.03.1998), see entire document.		1-20	
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